

Research Progress of Radiation-Induced Hypothyroidism Following Supraclavicular Radiotherapy for Breast Cancer Patients

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Abstract:- Radiotherapy (RT) is essential for treating cancer alongside chemotherapy and surgery, improving survival rates, and lowering local recurrence risk in high-risk breast cancer (BC) patients. However, it can lead to thyroid complications like hypothyroidism (HT), especially with higher radiation doses using traditional methods. Higher radiation doses to the thyroid, especially with conventional methods and exposure of the cervical region, increase the risk of HT. External radiation, particularly in the supraclavicular (SCV) and infra-clavicular nodal levels, poses a significant risk to the thyroid, a radiation-sensitive organ. Radiation's negative impact on the thyroid includes late and irreversible effects like atrophy, follicle, and vascular damage. Thyroid size, radiation dose, technique, and clinical factors (age, stage) influence these outcomes and toxicities. As a result, routine thyroid function assessment should be performed for BC patients after radiation. According to the reviewed articles, further study is needed to pinpoint variables and create advanced normal tissue complication probability (NTCP) models. Intensity-modulated radiotherapy (IMRT) must limit the dose to the thyroid to reduce the prevalence of HT. This review aims to outline SCV radiation's impact on thyroid function and factors related to radiation-induced hypothyroidism in breast cancer patients.

Keywords:- Radiotherapy; Breast Cancer; Supraclavicular; Hypothyroidism.

I. INTRODUCTION

Breast cancer (BC) is the leading global cancer among women, contributing significantly to cancer-related deaths in various countries [1]. In 2020, BC accounted for 11.7% of worldwide cancer cases, topping both incidence (159 out of 185 countries) and mortality (110 countries) [2].

Radiation therapy is crucial in treating various cancers. Standard BC treatment includes surgery, radiation, and systemic therapy tailored to stage and clinical presentation [3, 4]. Advancements in technology have heightened the importance of treatment planning in radiation therapy. Modern techniques protect essential organs and precisely target tumor areas with improved radiation delivery [5, 6].

Radiobiological models for normal tissue complication probability (NTCP) and TCP offer valuable tools to enhance treatment approaches. Oncology centers increasingly adopt patient-specific CT-based methods over standardized planning [7]. This shift aims to achieve precise target characterization and reduce radiation-induced side effects [7, 8]. The thyroid, a crucial organ, can be partly exposed to high-energy photon beams during BC radiation therapy. Its proximity to the gross tumor volume means it can fall within the treatment beam when irradiating supraclavicular (SCV) lymph nodes (Figure 1).

The thyroid is highly sensitive to radiation and is a critical endocrine organ near the SCV nodal area. Radiation exposure can lead to thyroid toxicity in both medical and occupational adults [9, 10].

High-risk BC patients benefit from external beam radiation therapy covering the breast and regional lymph nodes (axillary and SCV). This reduces accidental local recurrence and improves long-term survival [11, 12]. Whole breast radiation therapy reduces local recurrence risk by two-thirds; an additional boost lowers it by 50% [13]. Radiotherapy (RT) also enhances survival rates [14]. However, RT benefits are countered by adverse effects on surrounding structures, impacting patient's quality of life [15]. Radiation-induced toxicities to neighboring tissues can cause significant morbidity in cancer survivors [16]. Ionizing

radiation's most harmful impact is altering cell genetics risking cancer and functional issues in exposed tissues. BC patients receiving SCV radiation, particularly younger individuals, face a higher hypothyroidism (HT) incidence [17, 18].

HT, a known delayed consequence of external thyroid radiation, can emerge months to years post-RT. High radiation exposure designates the thyroid as an organ at risk [19]. Reduced thyroid volume due to radiation could contribute to this complication. Recent research by Huang et al. [20] indicated that radiation-induced HT is more common in BC patients with smaller thyroid volumes. Prioritizing pre-RT HT risk assessment and post-RT risk reduction is vital for better patient quality of life, especially in growing BC survivorship concerns. This review aims to outline the impact of SCV radiation on thyroid function and reconsider factors tied to radiation-induced HT in BC patients. These include RT, thyroid volume, techniques, doses, clinical characteristics, and NTCP models.

II. RADIATION-INDUCED HYPOTHYROIDISM

Cancer patients receiving radiation to cervical or SCV lymph nodes often experience radiation-induced HT as an RT side effect [21, 22]. Table 1 compiles studies on radiation-induced HT post-treatment of BC. While initial research focused on RT-induced HT in head and neck cancer and lymphoma patients, the first instances in BC patients undergoing SCV radiation therapy were noted in the 1980s by Bruning et al. Subsequent studies further confirmed the connection between SCV-directed radiation and increased HT incidence [18, 23]. In a study by Huang et al., of 192 BC patients who received SCV lymph node RT, post-radiation HT incidence ranged approximately from 19.3% (overall patients) to 32% (patients with follow-up labs) at a median of 25 months (2-83 months range) after treatment [20].

Many studies indicate that SCV lymph node RT elevates HT in BC patients [23-25]. Additional research highlights radiation and chemotherapy as substantial hazards, leading to thyroid damage and HT in BC patients, as seen in studies by Huang et al. [26] and Smith et al. [21]. Some studies conducted by Choi et al. [17] and Falstie-Jensen et al. [27] establish that BC patients receiving chemotherapy and lymph node radiation face the most significant risk of HT development. A Korean study with 4073 BC patients undergoing varying RT dosages-2468 whole breast, 215 regional node irradiation (RNI) LV.4, and 1390 RNI-SCV-found higher HT risk post RNI-SCV compared to RNI-Lv 4 [17]. In a Danish study involving 44,574 BC patients and 203,306 matched controls, those who had chemotherapy and RT to local lymph nodes exhibited the highest HT incidence, with an HR of 1.74 (95% CI 1.50-2.02). Nodal radiation with or without chemotherapy in the BC cohort led to elevated HT risk compared to no such treatments [27]. In a recent study by Roberson et al. [28] on 61 BC patients who received SCV lymph node radiation, a post-SCV RT HT incidence of 27.9% was observed, with a median onset at 38.7 months. Cutuli et al. noted that 6.2% of BC patients undergoing RT, chemotherapy, and surgery experienced clinically symptomatic HT by the end of the initial treatment [29].

In another Korean study by Park et al. [30], BC patients receiving RT tended to have higher HT incidence than non-RT patients (HR = 1.248; 95% CI, 0.977-1.595). Adjusted risk was higher in RT -RT-receiving BC patients. A Canadian analysis of BC therapy from 2005 to 2009 revealed increased comorbidities post-treatment, including ischemic heart disease and HT, with an HT HR of 1.17 (95% CI: 1.09-1.26) [31]. A more extensive retrospective study by Huang et al. indicated through univariable analysis that reduced thyroid volume correlated with HT development in BC patients [32].



Fig 1. A, Radiation plan for the supraclavicular field. The thyroid gland is colored orange. B, Dose distribution for supraclavicular field [33].

➤ *Chang of the thyroid gland (volume) after radiation*

Higher occurrence of post-radiation primary HT could relate to reduced thyroid volume caused by radiation, as observed in studies by Lin et al. [34] and Ishibashi et al. [35] [2018]. Local thyroid doses of 2 Gy or higher might lead to decreased thyroid volume due to radiation-induced microvascular and parenchymal damage, as noted by Lollert et al. [36]. Roberson et al. found that thyroid volume decrease occurs six months after SCV-directed radiation for BC. Their study indicates persistent thyroid atrophy for years post-radiation, becoming an independent risk indicator for HT. Within one year after treatment, thyroid volume reduction was evident; by four years, it decreased by 29.7% (range: 2.3-64.4%) [28]. Namdar et al. [37] of 32 BC patients observed that radiation-induced HT risk increases with higher mean thyroid gland doses at an 11.4-month median follow-up but decreases when thyroid volume surpasses 11.4 cc.

target coverage in certain instances but also revealed higher radiation doses being absorbed by the thyroid [38]. Reinertsen et al. [2009] [39] divided 403 women undergoing SCV irradiation for BC into two groups based on treatment planning technique: conventional 2D RT or computed tomography (CT) based planning (CT-RT). Their study suggested that 3D-CRT might elevate post-treatment HT risk compared to traditional planning. Meanwhile, Nageeti et al. [40] observed that using a larger anterior SCV field angle to protect the spinal cord increased thyroid radiation at all doses, potentially elevating post-radiation thyroid damage.

Intensity-modulated radiotherapy (IMRT), the latest planning method, reduces unintended radiation exposure to non-target tissues. In contrast to 3D-CRT, IMRT may expose the thyroid gland to more low-dose radiation, as indicated by Chen et al. [41] and Dogan et al. [42]. Multiple studies have shown that thyroid-sparing IMRT can preserve thyroid function while maintaining primary tumor target coverage, as seen in research by Lu et al. [43], Kim et al., and Robin et al. [44]. Therefore, we recommend employing advanced techniques like IMRT, which adjusts radiation intensity based on tumor thickness and density, reducing thyroid gland dose and HT risk.

III. RADIOTHERAPY TECHNIQUES

Advancements in radiation therapy technology have led to lower side effect rates for BC patients undergoing irradiation. Three-dimensional conformal radiation therapy (3D-CRT) planning for SCV irradiation indicated improved

Table 1. Selected studies related to radiation-induced hypothyroidism after treatment of BC

| Authors | N | Surgery involved | Median follow-up time | Radiation therapy type | Endpoint | Incidence risk of HT | Thyroid Dose per fraction (Gy)/total dose (Gy) | Related Risk factors of HT | Restrictions |
|-------------------------|------|-----------------------|-----------------------|------------------------|-----------------------|--|--|--|---------------------------|
| Akyurek et al.+(2014) | 28 | MRM (19) BCS (9) | 9 months | 3D-CRT | SHT, Clinical HT | One year: 14% 2-years: 21% | 50+10 (19) 50 (9) | D _{mean} , V20, V30 & V40 | D _{mean} <36 Gy |
| Tunio et al.* (2017) | 40 | MRM (15) BCS (25) | 52 months | 3D-CRT | SHT, Clinical HT | Crude: 15% | 2 | VT, V30>50% | V30>50% |
| Johansen et al+. (2011) | 32 | MRM (12) BCS (4) | Not | 3D-CRT | Biochem. HT, overt HT | Not reported | 50 (13) 50+10 (3) | VT, V30 | V30 |
| Kikawa et al.* (2017) | 42 | Not reported | | 3D-CRT | SHT, Clinical HT | 5-years Prevalence: SHT: 14.3% Clinical HT: 2.4% | 2 | VT< 8cm ³ | V30 |
| Kanyilmaz etal.+ (1017) | 243 | MRM (146) BCS (97) | | 3D-CRT | SHT, Clinical HT | Crude: 21% SHT: 11.9% Clinical HT: 9.1% | 50 (135) 60 (82) 66 (26) | D _{mean} | D _{mean} > 21 Gy |
| Choi et al.+ (2020) | 4073 | MRM (12) BCS (4) | | 3D-CRT IMRT | SHT, clinical HT | 3-year Incidence; RNI-SCL: 2.2% WB-alone groups: 0.8%; | 50 in 25 to 28 and 40.05-42.56 in | younger age (<60 years), Anthracycline-based or | NA |

| | | | | | | | | | |
|--|-------|-----------------------|-------------|----------------|------------------------|---|---------------------------------------|---|--|
| | | | | | | | 15 to 16 fractions | paclitaxel-based adjuvant chemotherapy and RNI fields | |
| Roberson et al. ⁺ (2023) | 61 | MRM BCS | 38.7 months | 3D-CRT IMRT | SHT, clinical HT | Crude:27.9% SHT: 9.8% Clinical HT: 18.0% | 44–50.4 in 1.8–2/ fraction | 20 and 40 Gy, mean dose, postmenopausal, aromatase inhibitor and VT | VT, radiation dose of 20 Gy or higher. |
| Huang et al. ⁺ (2021) | 192 | MRM (104) BCS (82) | 25 months | 3D-CRT IMRT | SHT, clinical HT | Incidence: All patients: 19.3%. SHT: 8.3%. Clinical HT: 10.9% Follow-up: 32%. SHT: 16%, Clinical HT: 16%, | 44–50.4 in 1.8–2/ fraction | Smaller thyroids, mean dose, and VT less than 20 Gy | Less than 20 Gy |
| Farshchi an et al. ⁺ (2022) | 21 | BCS (12) MRM (9) | | 3D-CRT | | 3 months: 0% 6 months: 9.5% | 50 | Not associated with any factors | NA |
| Namdar et al. ⁺ (2020) | 32 | BCS (5) MRM (26) | | 3D-CRT | | One year: Incidence: 16.1% | 2-50 or 2.66-42.56 in 5 days per week | Age, gender, chemotherapy, VT <11.4 cc, D _{mean} | D _{mean} > 27 Gy |
| Park et al.* (2022) | 64080 | MRM BCS | | IMRT | | 1-year: 1.7% 5-years: 6.9% 8-years: 9.2% | | Patient’s age, mastectomy, and the long-time of cancer treatment. (Multivariate analysis) | NA |
| Falstie-Jensen et al.* (2020) | 1712 | | | | | 5-years Incidence: 1.8% | | Patients who received RT to the lymph nodes with or without chemotherapy | NA |

MRM: Modified Radical Mastectomy; BCS: Breast Conservation Surgery; Three-Dimensional Conformal Radiation Therapy (3D-CRT); Intensity-Modulated Radiation Therapy (IMRT); SCH: Subclinical Hypothyroidism; Biochem. HT: Biochemical Hypothyroidism; VT: Thyroid Gland Volume; D_{mean}: the mean dose to thyroid gland; NA; No Applicable; and WB: whole breast. +; Retrospective Methodology; *: Prospective Methodology

➤ *Dose-volume predictor for hypothyroidism in treatment BC*

While past studies explored dose-volume relationships for increased HT risk, the findings have been inconsistent. Tissue exposure and radiation dose are linked. A retrospective analysis of BC patients with SCV-directed RT found that thyroid volume decreased after six months. The reduction associated with clinical and subclinical HT onset. Patients receiving 40 Gy or higher doses exhibited a significant decrease in thyroid volume compared to lower dose recipients. The study highlighted a dose-dependent relationship between thyroid subvolume reduction and SCV-directed RT in BC patients [28]. Albuquerque et al. [45] found a correlation between the volume of thyroid volume irradiation and the occurrence of HT. The average thyroid volume in patients

who acquired HT was 7 cc, compared to 10 cc in those who did not. Nageeti et al. [40] studied how varying SCV field angles impact thyroid dose absorption compared to spinal cord dose. Smaller angles (0°) correlated with lower thyroid absorption at all dose levels (15 Gy, 30 Gy, 50 Gy); max dose was 47.9 Gy at 0°. Thyroid volume influenced absorption mainly at high doses and angles ≤ 10°. As per Huang H et al. [20], among BC patients, sparing volume from receiving ≥20 Gy (CV20Gy[cc]) is the primary predictor for predicting HT. It's the top predictor in univariable analysis and the sole significant predictor apart from follow-up length in multivariable analysis. Namdar AM et al. [37] studied 62 breast and neck cancer patients who underwent 3D RT. HT was found in 17 of 62 patients over 11.4 months of median

follow-up. In BC patients, HT was more common when the thyroid mean dose surpassed 27 Gy.

Many studies show that varying radiation therapy dose levels, including mean dose [23, 25], V20 Gy [%][25], V30 Gy [%] [25, 46, 47], and V40 Gy [%] [25], are associated with higher chances of developing HT. Kanyilmaz et al. [23] and Tunio et al. [46] found that the mean dose to the thyroid gland (D_{mean}) > 21 Gy and V30 are predictors of HT. Kanyilmaz reported 3-year incidence rates of 10% and 3% for SCV RT and non-SCV RT patients, with D_{mean} values of 19.0 Gy and 13.2 Gy for HT and euthyroid groups [23]. Tunio showed, at 52 months, 15% with SCV RT and 5% without had HT. D_{mean} values were 25.8 Gy and 5.6 Gy, respectively. The V30 (>50%) was a significant predictor in the SCV radiation group [46]. Ansari et al. studied 64 BC individuals, finding an essential link between higher thyroid-absorbed doses and thyroid hormone changes [48].

Akyurk et al. prospectively analyzed thyroid conditions in 28 BC patients with a median follow-up of 25 months. In their investigation, V20-40 and D_{mean} of thyroid ≥ 36 Gy significantly influence the development of radiation HT; however, V_{mean} of the thyroid was unrelated to RHT development. Additionally, the prevalence of radiation HT in their patient population was 21% [25]. Kikawa et al. studied 42 BC patients with SCV radiation, finding that smaller thyroid volumes (<8 cm³) predicted a higher risk of radiation-induced HT in a 30-month follow-up. Smaller thyroid volumes were linked to clinical and subclinical HT [24]. Johansen et al. found that BC patients with small thyroid volumes are prone to HT post-RT due to limited thyroxin production capacity at doses under 30 Gy. No significant differences were found between V20 and V50 [47]. Farshchian et al. found no significant association between radiation-induced HT in BC patients after SCV region radiation therapy and thyroid gland volume or dose-volume parameters (including thyroid V10-50) [49]. Thyroid radiation exposure should be minimized in IMRT to reduce HT.

IV. OTHERS CLINICAL FACTOR

➤ Age

The literature suggests that the onset of RT-induced HT may be influenced by the patient's age and radiation exposure and that the thyroid gland radiosensitivity declines with advancing age [47]. Many studies suggest that post-RT HT in BC patients may be associated with factors such as chemotherapy and young age. Thyroid epithelium degradation is associated with an increased risk of HT [50, 51]. Due to thyroid epithelial deterioration, age may contribute to the higher prevalence of HT in the general population. In younger patients, a more comprehensive SCV field limit and more frequent use of harsh chemotherapy may explain the observed outcome variations. The Roberson et al. [28] and Farshchian et al. [49] investigations do not consistently support this connection.

➤ Clinical stage

The BC tumor-node-metastasis stage and radiation field are connected. The European Organization for Research and Treatment of Cancer 22922/10925 study showed that regional nodal irradiation significantly reduced BC mortality and recurrence in stage I-III cases [52, 53]. Consequently, the application of RT to the SCV region has expanded, extending from N2 to N1 disease cases [54]. Kanyilmaz's study revealed that surgery type, stage, nodal status, and RT field were significant predictors for HT in univariate analysis. However, multivariate regression analysis found no such correlation [23]. Advanced-stage patients require close monitoring for thyroid function tests.

➤ Normal tissue complication probability modeling

Given the inevitability of normal tissue exposure in external beam RT, comprehending the radiation tolerance levels of organs is vital for appropriate dose distribution and harm prevention. Increased radiation dose can lead to higher incidence and severity of radiation-induced damage. Radiobiological studies often depict the impact of radiation on normal and malignant tissues through dose-response curves. These curves illustrate the likelihood of specific responses, like radiation effects. Radiation therapy planning should incorporate restrictions on thyroid dosage to mitigate potential long-term HT effects.

Assessing NTCP is crucial for comparing treatment options and understanding how normal tissues respond to radiation therapy [55, 56]. To enhance treatment planning and minimize effects on adjacent normal tissue, accurate prediction of radiation responses is vital. NTCP models, based on radiobiological or dosimetric data, have emerged to evaluate complications. These models play a pivotal role when aiming for maximum treatment efficacy with specific goals [57]. It would be necessary to reduce iatrogenic diseases such as radiation-induced HT To improve the quality of life of cancer patients after radiation therapy [37].

In a 2021 study, Huang et al. investigated HT in BC patients after SCV RT. They established an NTCP model using a 15% cutoff at 5 years. The study revealed a strong link between a thyroid volume over 8.5 cc and receiving less than 20 Gy with increased HT risk. Comparing IMRT (n = 120) and 3D-CRT (n = 72) treatment modalities, IMRT showed higher minimum and mean thyroid doses, along with increased volume received at least 10 Gy (V10Gy) and V20Gy percentages and decreased thyroid volume receiving less than 10 Gy (CV10Gy[cc]) and 20 Gy (CV20Gy[cc]) volumes compared to 3D-CRT [20]. Conclusively, they suggest that maintaining CV20Gy [cc] at ≥ 8.5 cc could serve as a useful dosimetric reference to lower post-treatment HT risk, utilizing NTCP modeling with a 15% HT incidence threshold [20]. It's essential to factor in radiotherapy's impact on the thyroid gland when developing NTCP models for radiation-induced HT in BC patients.

V. CONCLUSION

This systematic review underscores radiation-induced HT as a standard long-term side effect, especially when the SCV region is directly irradiated. While RT is a routine BC treatment, its impact on thyroid radiation dosage can lead to thyroid atrophy, damage to small vessels, direct harm to follicles, and indirect damage to the vascular network. Thyroid gland size, absorbed radiation dose, RT technique, and clinical factors (like age and stage) influence radiation effects and their associated toxicities. High-risk patients, including those with greater thyroid dose, smaller thyroid volume, recent surgery, and younger age with advanced disease, should undergo regular thyroid function testing post-RT. While an optimal thyroid threshold isn't universally agreed upon, managing thyroid dose within the bounds of primary tumor coverage remains crucial. Existing studies suggest further research to define variables, develop multivariate models for NTCP, and elucidate the mechanism of radiation-induced HT while effectively constraining thyroid dose. In IMRT, controlling thyroid dose is vital to minimize radiation exposure and reduce the risk of HT. Additional research is needed to understand the mechanism of radiation-induced HT, the RT-HT link, early detection, and treatment strategies, reducing radiation-induced HT rates, and enhancing the quality of life for BC patients. A longitudinal study with recurrent thyroid assessments can validate the strength of the risk model.

➤ Abbreviations

Radiotherapy (RT); Breast cancer (BC); hypothyroidism (HT); Supraclavicular (SCV); Normal tissue complication probability (NTCP); Intensity-modulated radiotherapy (IMRT); Regional node irradiation (RNI); Three-Dimensional conformal radiation therapy (3D-CRT)

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